

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/777,394

02/05/2001

Albert D Edgar

CONFIRMATION NO. 8396

Date Mailed: 03/21/2001

FORMALITIES LETTER

SIMON, GALASSO & FRANTZ PLC. P.O. Box 26503 Austin, TX 78755-0503

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

25/25/2001 HMDHAMM1 00000135 501259

09777394

FILED UNDER 37 CFR 1.53(b)

710.00 CH 130.00 CH 02 FC:105 03 FC:103 04 FC:102

216.00 CH Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$296.
 - \$216 for 12 total claims over 20.
 - \$80 for 1 independent claims over 3.
- · The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1136.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PAŢENT AND TRADEMARK OFFICE

Application of:

Albert D. Edgar

Serial No.:

09/777,394

Group Art Unit:

By the Examiner:

2621

Filed:

February 5, 2001

Applied Science Fiction, Inc.

8920 Business Park Drive

Title: METHOD, SYSTEM, AND SOFTWARE:

FOR SIGNAL PROCESSING USING PYRAMIDAL DECOMPOSITION

Austin, Texas 78759

CERTIFICATE OF MAILING

Date of Mailing: May 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope

addressed to: **Box Missing Parts**

Assistant Commissioner for Patents,

Washington, D.C. 20231

Type or Print Name Martha Rocha

Dear Sir:

Box Missing Parts

Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified Nonprovisional application for patent are the following documents:

1. Transmittal Letter;

Assistant Commissioner for Patents

- 2. Response To Notice To File Missing Parts Of NonProvisional Application;
- Part 2-Copy of Notice To File Missing Parts Of NonProvisional Application; 3.
- Originally executed Declaration and Power of Attorney; 4.
- Form PTO-1595 Recordation Form Cover Sheet; 5.
- 6. Originally executed Assignment; and
- 7. Confirmation Postcard.

The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259.

> RESPECTFULLY SUBMITTED, Albert D. Edgar

By:

Raymond M. Galasso Reg. No. 37,832

Simon, Galasso & Frantz PLC P.O. Box 26503

Austin, Texas 78755-0503 Telephone: (512) 372-8240 Facsimile: (512) 372-8247



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Albert D. Edgar

By the Examiner:

Serial No.:

09/777,394

Group Art Unit:

2621

Filed:

February 5, 2001

Applied Science Fiction, Inc. 8920 Business Park Drive

Title: METHOD, SYSTEM, AND SOFTWARE:

FOR SIGNAL PROCESSING USING PYRAMIDAL DECOMPOSITION

Austin, Texas 78759

CERTIFICATE OF MAILING

Date of Mailing: May 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope

Box Missing Parts

Assistant Commissioner for Patents,

Washington, D.C. 20231

Type or Print Name __Martha Rocha

martha Roch

Dear Sir:

Box Missing Parts

Washington, D.C. 20231

Assistant Commissioner for Patents

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

This letter is submitted in response to the Notice To File Missing Parts of Nonprovisional Application dated March 21, 2001. Applicant responds by filing an originally executed Declaration and Power of Attorney. Applicant responds by paying the required fees. The Commissioner is hereby authorized to charge payment of \$1176.00 to Simon, Galasso & Frantz Deposit Account No. 50-1259. This amount includes the filing fee (\$710), claim fee (\$296), and surcharge (\$130) identified in the Notice To File Missing Parts of Provisional Application. We also include in this amount the Assignment recording fee (\$40). An originally executed Assignment is enclosed for recording purposes.

RESPECTFULLY SUBMITTED, Albert D. Edgar

By:

Raymond M. Galasso Reg. No. 37,832

Simon, Galasso & Frantz PLC

P.O. Box 26503

Austin, Texas 78755-0503 Telephone: (512) 372-8240 Facsimile: (512) 372-8247